

**FILED**

**In the United States District Court  
Eastern District of Tennessee  
At Knoxville**

OCT 10 2013

Clerk, U. S. District Court  
Eastern District of Tennessee  
At Knoxville

**SECURITIES AND EXCHANGE  
COMMISSION,**

**Plaintiff,**

**V.**

**AIC, INC., COMMUNITY BANKERS  
SECURITIES, LLC, and  
NICHOLAS SKALTSOUNIS,**

**Defendants.**

**NO.: 3:11-CV-176  
(JUDGE VARLAN)**

**VERDICT FORM**

**October 9, 2013**

1. Do you find from a preponderance of the evidence that the following defendants are liable under Section 17(a)(1), (2), or (3) of the Securities Act of 1933?

AIC, Inc. Yes: ✓ No: \_\_\_\_\_

Community Bankers Securities, LLC Yes: ✓ No: \_\_\_\_\_

Nicholas D. Skaltsounis Yes: ✓ No: \_\_\_\_\_

[Continue to Question # 2]

2. Do you find that the following defendants are liable under Section 10(b) of the Exchange Act of 1934 and Rule 10b-5 thereunder?

AIC, Inc. Yes: ✓ No: \_\_\_\_\_

Community Bankers Securities, LLC Yes: ✓ No: \_\_\_\_\_

Nicholas D. Skaltsounis Yes: ✓ No: \_\_\_\_\_

[Continue to question #3.]

3. Do you find that the following defendants are liable as control persons under Section 20(a) of the Exchange Act of 1934?

AIC, Inc. Yes: ✓ No: \_\_\_\_\_

Community Bankers Securities, LLC Yes: ✓ No: \_\_\_\_\_

[Continue to question #4.]

4. Do you find that the following defendant is liable for aiding and abetting violations of Section 10(b) of the Exchange Act and Rule 10b-5 thereunder, all under Section 20(e) of the Exchange Act of 1934?

Nicholas Skaltsounis Yes: ✓ No: \_\_\_\_\_

**SIGNATURE REDACTED**

Signature of FOREPERSON

10-10-13

Date